

Form PTO-1449 (modified)

Atty. Docket No. Graff-P2-98

Serial No.
09/134,453

List of Patents and Publications for Applicant's

Applicant: Richard A. Graff

INFORMATION DISCLOSURE STATEMENT

Filing Date:

August 14, 1998

Group:

3786

(Use several sheets if necessary)

U.S. Patent Documents

See Page 1

Foreign Patent Documents

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Other Art

See Page 1

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
NR	A1	US 2002/0138419	Sep. 26, 2002	Melone et al.	705	38	Mar. 22, 2002
NR	A2	US 2003/0229555	Dec. 11, 2003	Marlowe-Noren	705	38	Nov. 15, 2002
	A3						

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
NR	C1	Copies of Web Pages of Projector Center labeled as Projector Center, 2000-2002, projectorcenter.com, http://web.archive.org/web/20021026135235/http://www.projectorcenter.com/lease_end.asp , printed 7/19/05

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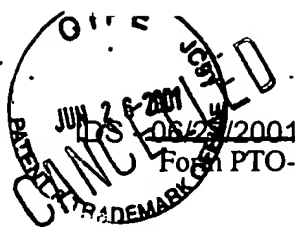
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DATE CONSIDERED: 06/16/2006

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

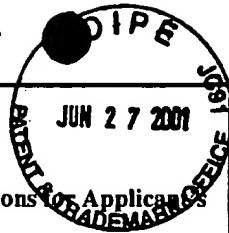
C: 36468(GRAFF-P2-98.1449.2.DOC)



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Applicant: Richard A. Graff

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Filing Date:
August 14, 1998

Group: Technology Center 2100
2761

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U.S. Patent Documents
See Pages 1-2

Foreign Patent Documents
See Page 2

Other Art
See Page 2

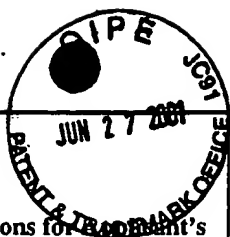
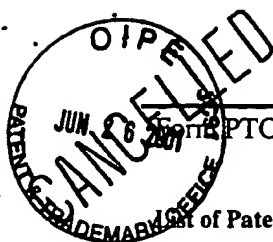
U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
NR	A1	3,581,072	May 25, 1971	Auction Market Computation System	340	172.5	Mar. 28, 1968
NR	A2	4,412,287	Oct. 25, 1983	Automated Stock Exchange	705	37	Sept. 15, 1982
NR	A3	4,674,044	Jun. 16, 1987	Automated Securities Trading System	364	408	Jan. 30, 1985
NR	A4	4,789,928	Dec. 6, 1988	Auction Information Transmission Processing	364	401	Jan. 30, 1987
NR	A5	4,823,265	Apr. 18, 1989	Renewable Option and Marketing System	705	35	May 11, 1987
NR	A6	4,903,201	Feb. 20, 1990	Automated Futures Trading Exchange	364	408	Nov. 3, 1983
NR	A7	4,980,826	Dec. 25, 1990	Voice Actuated Automated Futures Trading Exchange	364	408	Mar. 19, 1984
NR	A8	5,038,284	Aug. 6, 1991	Method and Apparatus Relating to Conducting Trade Transactions With Portable Trading Stations	705	37	Feb. 17, 1998
NR	A9	5,077,665	Dec. 31, 1991	Distributed Matching System	364	408	May 25, 1989
NR	A10	5,101,353	Mar. 31, 1992	Automated System for Providing Liquidity to Securities Markets	705	37	May 31, 1989
NR	A11	5,136,501	Aug. 4, 1992	Anonymous Matching System	364	408	May 26, 1989
NR	A12	5,220,500	Jun. 15, 1993	Financial Management System	364	408	Sept. 19, 1989

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Foreign Patent Documents

See Page 2

Other Art

See Page 2

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Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
NR	A13	5,243,515	Sept. 7, 1993	Secure Teleprocessing Bidding System	364	401	Oct. 30, 1990
NR	A14	5,375,055	Dec. 20, 1994	Credit Management for Electronic Brokerage System	364	408	Feb. 3, 1992
NR	A15	5,774,880	Jun. 30, 1998	Fixed Income Portfolio Index Processor	705	36	Feb. 28, 1995
NR	A16	5,857,176	Jan. 5, 1999	Fixed Income Portfolio Data Processor	705	36	May 9, 1997

Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
NR	C1	Renata Morgenstern, "Electronic Bidding for Municipal Bonds: Technology Innovations for Competitive Bond Sales", Government Finance Review, Pgs. 23-25, February, 2000
NR	C2	Nicholas J. Dazzo, "In Today's Muni Market, Data Bases, Not Books, Provide Dealers, Clients With Timely Bond Data: Municipal bond dealers have never had it so easy", Public Finance Technology, Pg. 12A, Vol. 289, No. 28217, August 29, 1989
NR	C3	"JP Morgan and Capital Link In Bid to Develop Electronic Auction for Corporate Debt Securities", Trading Systems Technology, Vol. 2, N20, April 24, 1989
NR	C4	Richard Richtmyer, "Survey Shows Bond Market Is Warming Up to Electronic Trading", The Bond Buyer, Vol. 321, No. 30213, August 20, 1997

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